AD	

#### AWARD NUMBER DAMD17-97-1-7232

TITLE: Targeted Gene Therapy for Breast Cancer

PRINCIPAL INVESTIGATOR: Jinha M. Park

Michael Press, Ph.D.

CONTRACTING ORGANIZATION: University of Southern California

Los Angeles, California 90033

REPORT DATE: August 1999

TYPE OF REPORT: ANNUAL

PREPARED FOR: Commander

U.S. Army Medical Research and Materiel Command

Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Distribution authorized to U.S. Government agencies only (proprietary information, Aug 99). Other requests for this document shall be referred to U.S. Army Medical Research and Materiel Command, 504 Scott Street, Fort Detrick, Maryland 21702-5012.

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

#### NOTICE

USING GOVERNMENT DRAWINGS, SPECIFICATIONS, OR DATA INCLUDED IN THIS DOCUMENT FOR ANY PURPOSE OTHER THAN GOVERNMENT PROCUREMENT DOES NOT IN ANY WAY OBLIGATE THE U.S. GOVERNMENT. THE FACT THAT THE GOVERNMENT FORMULATED OR SUPPLIED THE DRAWINGS, SPECIFICATIONS, OR OTHER DATA DOES NOT LICENSE HOLDER OR ANY OTHER PERSON OR CORPORATION; OR CONVEY ANY RIGHTS OR PERMISSION TO MANUFACTURE, USE, OR SELL ANY PATENTED INVENTION THAT MAY RELATE TO THEM.

#### LIMITED RIGHTS LEGEND

Award Number: DAMD17-97-1-7232

Organization: University of Southern California, Los Angeles

Those portions of the technical data contained in this report marked as limited rights data shall not, without the written permission of the above contractor, be (a) released or disclosed outside the government, (b) used by the Government for manufacture or, in the case of computer software documentation, for preparing the same or similar computer software, or (c) used by a party other than the Government, except that the Government may release or disclose technical data to persons outside the Government, or permit the use of technical data by such persons, if (i) such release, disclosure, or use is necessary for emergency repair or overhaul or (ii) is a release or disclosure of technical data (other than detailed manufacturing or process data) to, or use of such data by, a foreign government that is in the interest of the Government and is required for evaluational or informational purposes, provided in either case that such release, disclosure or use is made subject to a prohibition that the person to whom the data is released or disclosed may not further use, release or disclose such data, and the contractor or subcontractor or subcontractor asserting the restriction is notified of such release, disclosure or use. This legend, together with the indications of the portions of this data which are subject to such limitations, shall be included on any reproduction hereof which includes any part of the portions subject to such limitations.

THIS TECHNICAL REPORT HAS BEEN REVIEWED AND IS APPROVED FOR PUBLICATION.

Jairen CModron	9/11/00

#### REPORT DOCUMENTATION PAGE

Form Approved

OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Orientedate for Information Generations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302, and to the Office of Management and Budget, Paperwork Reduction Project (0704-0188), Washington, DC 20503.

1. AGENCY USE ONLY <i>(Leave blank)</i>	2. REPORT DATE August 1999	3. REPORT Annual	-overed (1 Aug 98 - 31 Ju	1] 90
4. TITLE AND SUBTITLE .	1 3 1	_	5. FUNDING NUMBERS	
Targeted Gene Therapy for Breast Ca	ncer		DAMD17-97-1-7232	
6. AUTHOR(S)			-	
Jinha M. Park Michael Press, Ph.D.				
7. PERFORMING ORGANIZATION NAME(S) AND ADDRES	S(ES)		8. PERFORMING ORGANIZATION REPORT NUMBER	
University of Southern California Los Angeles, California 90033				
park@hsu.usc.edu				
9. SPONSORING / MONITORING AGENCY NAME(S) AND	ADDRESS(ES)		10. SPONSORING / MONITORING AGENCY REPORT NUMBER	
U.S. Army Medical Research And Ma ATTN: MCMR-RMI-S	nteriel Command		AGENCT REFUND NOWBER	
504 Scott Street Fort Detrick, Maryland 21702-5012				
11. SUPPLEMENTARY NOTES				
12a. DISTRIBUTION / AVAILABILITY STATEMENT			12b. DISTRIBUTION CODE	
Distribution authorized to U.S. (proprietary information, Aug 9 document shall be referred to U Materiel Command, 504 Scott Strong	9). Other requests .S. Army Medical Res	for this search and		
13. ABSTRACT (Maximum 200 words)			1	

Immunotherapy has proven to be safe and effective in targeting human cancers in a clinical setting. However, durable disease-free remissions have yet to be realized in the majority of treated patients. Unconjugated antibodies can be improved by the attachment of effector molecules to the cancerspecific antibody. Improvements in the design of antibody based therapeutics will require specific delivery of cytotoxic agents such as toxin molecules or toxin-encoding genes. Two monoclonal antibodies with selective binding to HER-2/neu overexpressing breast cancer cells have been miniaturized by fusing variable chain genes with the dimerization domain of the human Fc constant region. First, the genes were isolated using low stringency conditions and degenerate oligonucleotides to consensus regions in the framework of the variable chain genes and the constant region of the gamma heavy and kappa light chain regions of mouse immunoglobulin (IgG) mRNAs. Confirmation of cloning was achieved by sequencing of variable regions and comparing framework regions to a database of IgG sequences. The fusion of the variable heavy (VH) gene with the variable light (VL) chain gene was accomplished by the technique of splice overlap extension PCR. The scFv was fused to the human IgG1 Fc domain 3 which dimerizes the heavy chains in an IgG. This expression cassette was introduced by electroporation into NS0 (non-secretor) myeloma cells for antibody secretion. Positive secretor clones were identified by enzyme-linked immunosorbent assay against recombinant p185<sup>HER-2</sup> extracellular domain. Thus, the engineering of the proper reconfiguration of divalent "minibodies" was confirmed by binding to ECD.

14. SUBJECT TERMS Breast Cancer		15. NUMBER OF PAGES 51
		16. PRICE CODE
17. SECURITY CLASSIFICATION OF REPORT	18. SECURITY CLASSIFICATION OF THIS 19. SECURITY CLASS PAGE OF ABSTRACT	SIFICATION 20. LIMITATION OF ABSTRACT
Unclassified	Unclassified Unclas	ssified Limited

#### FOREWORD

Opinions, interpretations, conclusions and recommendations are those of the author and are not necessarily endorsed by the U.S. Where copyrighted material is quoted, permission has been obtained to use such material. Where material from documents designated for limited distribution is quoted, permission has been obtained to use the material. Citations of commercial organizations and trade names in this report do not constitute an official Department of Army endorsement or approval of the products or services of these organizations. In conducting research using animals, the investigator(s) adhered to the "Guide for the Care and Use of Laboratory Animals," prepared by the Committee on Care and use of Laboratory Animals of the Institute of Laboratory Resources, national Research Council (NIH Publication No. 86-23, Revised 1985). For the protection of human subjects, the investigator(s) adhered to policies of applicable Federal Law 45 CFR 46. ✓ In conducting research utilizing recombinant DNA technology, the investigator(s) adhered to current guidelines promulgated by the National Institutes of Health.  $ec{\mathcal{V}}$  In the conduct of research utilizing recombinant DNA, the investigator(s) adhered to the NIH Guidelines for Research Involving Recombinant DNA Molecules. In the conduct of research involving hazardous organisms, the investigator(s) adhered to the CDC-NIH Guide for Biosafety in Microbiological and Biomedical Laboratories.

July Pah 8/10/99
PI - Signature Date

Muchan Trus 8/10/99

## ANNUAL SUMMARY TABLE OF CONTENTS

#### PAGE NUMBERS

Report Documentation	2
Foreword	3
Table of Contents	4
Introduction	5
Body of Annual Summary	5
Appendices	7-50

#### INTRODUCTION

Novel therapies which require internalization of effector domains may be improved by assessing the efficiency of post-binding receptor-mediated endocytosis. To achieve targeted gene therapy or immunotoxin therapy, natural vector-host tropisms must be altered. improvements in monoclonal antibody (mAb) engineering have expanded the potential range of host cells which can be targeted for therapeutic intervention. However, relatively little is known about cellular responses after binding of a vector construct. We have tested the utility of four novel monoclonal antibodies recognizing the extracellular domain of p185<sup>HER-2</sup>, a membrane receptor protein, for use in internalization-dependent therapies. All four antibodies bound to p185<sup>HER-2</sup> in a number of immunoassays. Two antibodies recognized accessible epitopes of p185<sup>HER-2</sup> on viable Radioimmunoassay demonstrated that antibody-membrane receptor complexes formed by two antibodies were internalized and trafficked through an endolysosomal degradative pathway. Two of the four antibodies evaluated were found to have favorable internalization characteristics suitable for incorporation in a targeting vector. This analytical approach could be applied to antibodies prior to and after fusion with various vectors or toxins to determine the potential utility of the antibodies for targeted therapy.

The anti-p185<sup>HER-2</sup> mAbs 8H11 and 10H8 were engineered for therapeutic uses.

sought to make ideal sized constructs for in vivo tumor penetration with rapid clearance. In addition, by genetically cloning the variable genes, we could also attach effector molecules to enhance the delivery of toxin proteins or DNA to p185<sup>HER-2</sup> overexpressing cells. While initially in its early stages, the minibody is the most likely format to reach the goals of targeted therapies to p185<sup>HER-2</sup> overexpressing breast cancers.

#### YEAR 2 ANNUAL SUMMARY:

#### BODY

Isolation and cloning of variable fragments of heavy and light immunoglobulin chains

PCR reactions using degenerate oligonucleotides optimized from the variable regions of mouse heavy and light leader sequences amplified the variable heavy and light chain genes for the 8H11 and 10H8 mAbs (Table 1). After RNA isolation for hybridoma cell lines secreting the 8H11 and 10H8 mAbs, reverse transcription reactions resulted in copying of cDNA. Figure 1 depicts the scheme for isolation and cloning of the VH and VL chain gene of the mAbs. PCR reactions using the VHBi3d and HCRegi2 primers yielded the VH chains of both 8H11 and 10H8 (Figure 2). Likewise, the VkBi7 and LCRegi2 primers yielded the VL chains of both mAbs. PCR products with deoxyadenosine overhangs were ligated into a "TA Cloning" vector plasmid with deoxythymidine overhangs (Invitrogen, Carlsbad, CA). Competent cells were transformed and colonies harboring plasmids with PCR products were selected by blue-white screening according to the manufacturer's protocols. Positive clones were sequenced by the Sanger dideoxy method to confirm that PCR products cloned were indeed bona fide mouse immunoglobulin genes. Using predicted amino acid sequences derived from nucleotide sequences, we confirmed that all four cDNAs were indeed mouse VH and VL genes (Figure 3). Based on Kabat's database of mouse immunoglobulin genes, each variable region belongs to a known family of heavy or light immunoglobulin types. VH8H11 belongs to Subgroup IA while VH10H8 belongs to Subgroup IIID of the variable region heavy chain sequences. For mouse variable region light chain sequences, VL8H11 matched as a family member of Subgroup IV. VL10H8 matched sequences similar to Subgroup II.

## Splice overlap extension (SOE) PCR to generate single-chain variable fragments (scFv)

In order to generate antigen-binding protein conformation by apposition of the variable light and variable chains, we used a technique to SOE ("sew") two cDNA fragments together using a novel application of PCR. The scheme for SOE is depicted in Figure 4. In both 8H11 and 10H8, we synthesized primers with overlapping ends that would provide the basis of complementarity between two distinct cDNAs. Tables 2 and 3 list the primers used in two sets of SOE PCR reactions to generate the scFv of 8H11 and 10H8. In fact, three distinct templates were amplified using these extended primers to generate two SOE PCR reactions per mAb. The first template to be used was the anti-CEA mAb heavy chain leader signal peptide and its endogenous Kozak sequence with ribosome binding site. This sequence is necessary for the expression and secretion of the engineered forms of 8H11 and 10H8 in the Glutamine Synthetase Protein Expression system described below.

The first PCR reactions were straightforward amplifications of the three template components needed for eventual fusion into one cDNA. All three templates were amplified using primer pairs A+B, C+D, and E+F. Then the first two templates to be SOEed were the products AB and CD. Under the conditions of overlap extension, a new template was formed from separated sense and antisense strands of both AB and CD which, upon low temperature conditions at 37°C, were allowed to re-anneal at the primer overlap sequences between the B primer and the C primer. Extension using Taq polymerase created a new fusion between AB and CD depicted as AD. Since there is so little product, ethidium bromide staining of agarose electrophoresed PCR procuts is undetectable. The next step relies on the presence of this AD product for amplification now using primer pairs of A and D. Once enough of this template is amplified, another round of SOE PCR is performed, this time with purified EF template. And finally, amplification by standard PCR of the AF product yields the scFv. The cloned sequences were again ligated into a TA cloning vector. These sequences were checked by automated sequencing for expected splice success and presence of mutations from Taq PCR. In our two cases, there was only a silent mutation in the VL of the 8H11 that had no effect on the serine encoded by either degenerate codon.

## Cloning into the minibody expression vector for production of a divalent, engineered antibody

Two forms of the linker between the scFv and the CH3 human constant domain were tested. The Flex linker, which has the human constant hinge domain sequence, is made up of synthetic sequences to add flexibility to the eventual dimer of scFvs formed. The VE form of the minibody is tested to see if a constrained conformation of the divalent scFvs enhances the avidity of the eventual minibody. Instead of 17 amino acids joining scFv to the CH3, the VE minibody only has a linker of valine and glutamic acid residues. Successful in-frame ligation of the 10H8 scFv into both minibody expression vectors was confirmed by automated sequencing.

## Expression of Minibody 10H8 Flex and 10H8 VE in the Glutamine Synthetase Amplification Protein Expression System

Expression constructs were electroporated into NS0 mouse myeloma cells. Supernatants of surviving colonies that survived gluamine withdrawal showed that 10 clones out of 200 selectable clones were positive by protein ELISA for the presence of CH3 containing antibodies that recognized the HER-2/neu ECD. Follow-up work on characterization and confirmation of binding of endogeneous an overexpressed full length HER-2/neu in vitro and in vivo will be assessed.

#### **APPENDICES**

#### A. Figures and Tables

- Figure 1. Cloning of variable genes from hybridoma cells. As depicted in the diagram, hybridoma cells were lysed and RNA extracted. RNA was subjected to first strand cDNA synthesis using an oligo-dT primer and MMLV-RT. cDNA was used to isolate the variable heavy and variable light chain gene regions by low stringency conditions of PCR. Optimized degenerate oligonucleotides used to isolate 8H11 and 10H8 genes are listed in Table 1. PCR products were ligated into cloning vectors. Multiple PCR confirmations were performed and several independent clones were manually sequenced to confirm authentic variable mouse IgG genes based on the Kabat database.
- Figure 2. Agarose gel electrophoresis of amplified PCR products of VH and VL genes of 8H11 and 10H8 mAbs. Two independent PCR reactions for each set of variable genes were run to confirm the reproducibility of low stringency PCR. All PCR reactions primarily isolated the variable region genes except for VL8H11 which showed an additional band at 900 bp. Specific products were excised from the gels (370 bp products) and purified for ligation into cloning vectors for manual sequencing confirmation.
- Figure 3. Predicted amino acid sequences from cloned variable heavy (VH) and variable light (VL) antibody cDNA sequences. Each predicted polypeptide contains three hypervariable regions (CDR: complementarity determining region) flanked by the four framework regions of more conserved variable region sequences. Using these sequences, the cDNA sequences were authenticated as mouse IgG genes from the Kabat database which has each family of antibodies catalogued. Hypervariable regions are underlined and typed in boldface.

## PROPRIETARY AND UNPUBLISHED DATA, PLEASE PROTECT THIS FIGURE 3 LEGEND AND FIGURE ON FOLLOWING PAGE.

Figure 4. Splice overlap extension PCR to join antibody gene fragments. Diagram showing the sequence of PCR reactions necessary to fuse three DNA templates together while simultaneously adding intervening artificial peptide sequences (Whitlow 218 scFv linker). Step (1) All three templates are amplified using unique primers with annealable overlapping sequences (see Tables 2 and 3). Step (2) First SOE reaction was the ligation of the anti-CEA signal sequence to the VL of 8H11 and 10H8. Then the newly formed template was itself amplified using primers flanking the 5' of the signal sequence and the 3' end of the VL. Step (3) The SOE is repeated in order to ligate the newly formed signal sequence-VL to the VH gene using the primers to insert the 218 linker between the ligated templates. Again, final amplification of the new template formed the scFv for the antibody.

Figure 1. Cloning of Variable Genes from Hybridoma Cells

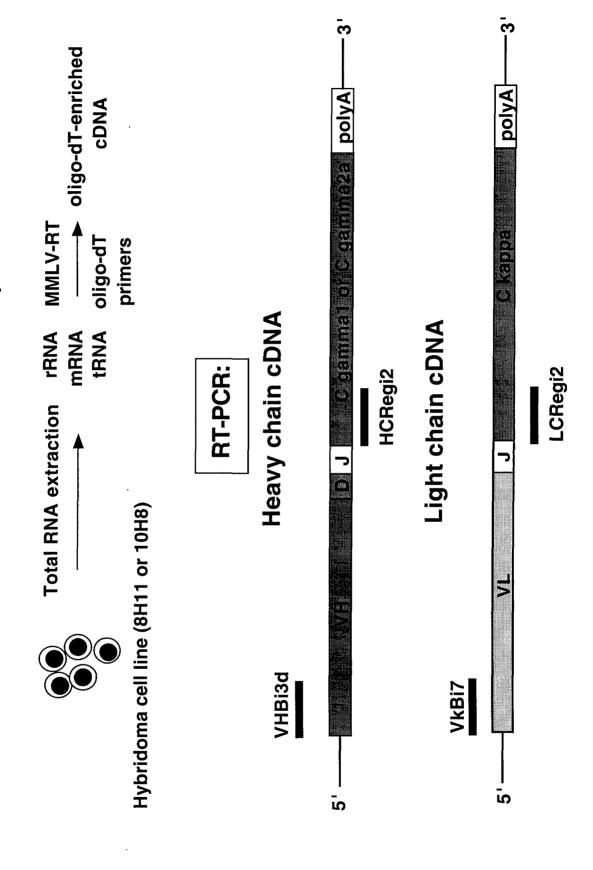
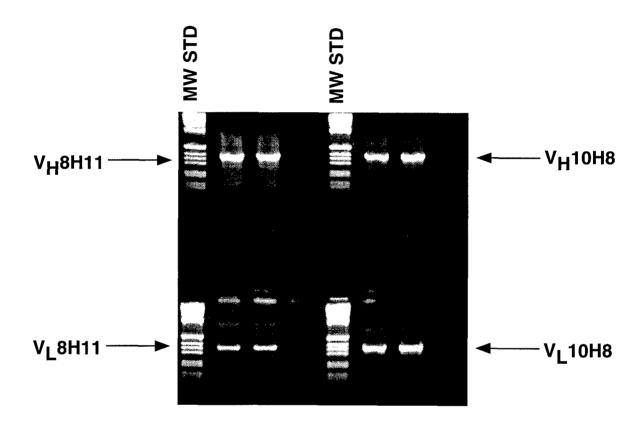


Figure 2.



# Cloned Variable Heavy (VH) and Variable Light (VL) Antibody cDNA Sequences Figure 3. Predicted Amino Acid Sequences from

,

# VH 8H11

EVQLQESGPELKKPGETVKISCKASGYTFT<u>NYGMN</u>WVKQAPGKGLKWMG<u>WINTNIGEPTYTEEFKG</u>RFAFSLGTSASTAFLQINNL KNEDTATYFCAR<u>DDGYGNRVSY</u>WGQGTLVTVSA

CDR1: NYGMN CDR2: WINTNIGEPTYTEEFKG CDR3: DDGYGNRVSY

# VL 8H11

DILMTQSPLSMYTSLGERVTITC<u>KASQDINSYLS</u>WFQQKPGKSPKTLIY<u>RANRLVD</u>GVPSRFSGSGGQDYSLTISSLEYEDMGIYYC<u>LQ</u> HDEFPW<u>T</u>FGGGTKLEIK

CDR 1: KASQDINSYLS CDR 2: RANRLVD CDR 3: LQHDEFPWT

VH 10H8

EVQLQESGGDLVSPGGSLKLSCAASGFTFS<u>SNGMS</u>WVRQTPDKRLEWIA<u>TISGGGYYIYYPDSVKG</u>RFTISRDNAKNTLYLQMRSLK SEDTAMYYCAR**HGDDNSSYLDV**WGAGTTVTVSS

CDR1: SNGMS CDR2: TISGGGYYIYYPDSVKG CDR3: HGDDNSSYLDV

# **VL 10H8**

DILMTQTPLSLPVSLGDQASISC<mark>RSSOSLVHSNGNTYLH</mark>WYLQRPGQSPKLLIY<u>KVSNRFS</u>GVPDRFSGSGSGTDFTLKISRVEAEDLG VYFC<mark>SOSTHVPPWT</mark>FGGGTKLEIK

CDR1: RSSQSLVHSNGNTYLH CDR2: KVSNRFS CDR3: SQSTHVPPWT

Primer 5' of VH with Synthetic Code for Whitlow 218 Polypeptide Linker Repeat Step 2, SOE PCR for AD + EF Templates then, Final PCR Amplifcation for scFv with Primers A and F Add dNTPs Begin Splice Overlap Extension PCR for AB + CD Final PCR Amplification Using Primers A and D 出 出 A+B C+D E+F Template PCR Amplification 218 Linker 8 anti-CEA IgG Leader Sequence 8 8 **CEA Leader** Overlap

Figure 4. Splice Overlap Extension PCR to Join Antibody Gene Fragments

Table 4-1. Degenerate Oligonucleotides Used for Isolation of Variable Heavy and Variable Light Chains of mAb 8H11 and mAb 10H8

Primer	Description	Oligonucleotide Sequence
VHBi3	Heavy chain variable domain	5'-GAGGTGAAG <u>CTGCAG</u> GAGTCAGGACCTAGCCTGGTG-3' ( <u>PstI site</u> )
VHBi3c	Heavy chain variable domain	5'-AGGT(C/G)(A/C)AG <u>CTGCAG</u> (C/G)AGTC(A/T)GG-3' ( <u>PstI site</u> )
VHBi3d*	Heavy chain variable domain	5'-AGGT(C/G) <u>CAGCTG</u> CAG(C/G)AGTC(A/T)GG-3' ( <u>PvuII site</u> )
HCRegi2*	Gamma chain constant domain	5'-CG <u>GAATTIC</u> AGGGCCAGTGGATAGAC-3' ( <u>EcoRI site</u> )
VkBi6	Light chain variable domain	5'-GGTGATATCGTGAT(A/G)AT(C/A)CA(G/A)GATGAACTCTC-3' $(\overline{\text{EcoRV site}})$
VkBi7*	Light chain variable domain	5'-GGT <u>GATATC</u> (A/T)TG(A/C)TGACCCAA(A/T)CTCCACTCTC-3' ( <u>EcoRVsite)</u>
VkBi8	Light chain variable domain	5'-GGT <u>GATATC</u> GT(G/T)CTCAC(C/T)CA(A/G)TCTCCAGCAAT-3' ( <u>EcoRV site</u> )
LCRegi2*	Kappa chain constant domain	5'-CG <u>GAATTC</u> GGATGGTGGGAAGATGGA-3' ( <u>EcoRI site</u> )

<sup>\*</sup> Actual primers used in cloning VH8H11, VH10H8, VL8H11, and VL10H8.

Table 4-2. Overlapping Oligonucleotides Used for Splicing Together Variable Light and Variable Heavy Chains of mAb 8H11

Primer	Description	Oligonucleotide Sequence
8H11/A	anti-CEA IgG heavy	5'-GCGGAATTCTCTAGAGCCGCCACCATGGAGACAGACACACTCCTG-3'
(KO255A)	leader with signal	EcoRI Xbal
	peptide, Kozak	
	sequence, and	
	restriction enzyme	
Sense	sites	
8H11/B	Light chain	5'-TAGAGATGTATACATGGAGAGGTGGAGTTGGGTCATCAAGATATCACCTGT-3'
	Framework 1 with	
	6-mer binding to	Overlaps with 8H11/C
Antisense	leader	
8H11/C	Light chain internal	5'-TCCATGTATACATCTCTAGGAGAGAGTCACTATCACT-3'
Sense		Overlaps with 8H11/B
8H11/D	Whitlow 218 linker	5'-AGATCCGGGCTTGCCGGATCCAGAGGTGGAGCCTTTGATTTCCAACTTGGTGCCT-3'
	with 22-mer binding	
	to light chain	Overlaps with 8H11/E
Antisense	Framework 4	
8H11/E	Whitlow 218 linker	5'-TCCGGCAAGCCCGGATCTGGCGAGGGATCCACCAAGGGCGAAGTGCAGCTGCA
	with 32-mer binding	
	to heavy chain	GGAGTCTGGACCT-3'
Sense	Framework 1	Overlaps with 8H11/D
8H11/F	Heavy chain Framework 4 with	5'-GATCGAATTCAAGCTTGCGGCCGCCCCGGGTCAGTGATGGTGATGATGAGC  EcoRI HindIII NotI Smal Stop
	restriction enzyme	GCTGACAGTGACCAGAGTCCCTT_3,
Antisense	sites	

Table 4-3. Overlapping Oligonucleotides Used for Splicing Together Variable Light and Variable Heavy Chains of mAb 10H8

Primer	Description	Oligonucleotide Sequence
10H8/A	anti-CEA IgG heavy	5'-GCGGAATTCTCTAGAGCCGCCACCATGGAGACAGACACCTCCTG-3'
(KO255A)	leader for signal	EcoRI Xbal
,	peptide, Kozak	
	sequence, and	
•	restriction enzyme	
Sense	sites	
10H8/B	Light chain	5'- <u>CAAGACTGACAGGCAGGGA</u> GAGTGGAGTTTGGGTCATCAAGATATCACCTGT-3'
	Framework 1 with	
	6-mer binding to	Overlaps with 10H8/C
	anti-CEA heavy	
Antisense	leader	
10H8/C	Light chain internal	5'-TCCCTGCCTGTCAGTCTTGGAGATCAAGCCTCCATCTCTT -3'
Sense		Overlaps with 10H8/B
10H8/D	Whitlow 218 linker	5'-AGATCCGGGCTTGCCGGATCCAGAGGTGGAGCCTTTGATTTCCAGCTTGGTGCC
	with 24-mer binding	TCC -3,
	to light chain	
Antisense	Framework 4	Overlaps with 10H8/E
10H8/E	Whitlow 218 linker	5'-TCCGGCAAGCCCGGATCTGGCGAGGGATCCACCAAGGGCGAAGTCCAGCTGCAGG
	with 24-mer	AGTCTGGG-3'
	binding to heavy	
Sense	chain Framework 1	Overlaps with 10H8/D
10H8/F	Heavy chain	5'-GATCGAATTCAAGCTTGCGGCCGCCCCGGGTCAGTGATGGTGATGTGATGTGA
	Framework 4 with	EcoRI HindIII NotI Smal Stop
	oxHis tag and	
	restriction enzyme	GCTCACGGTGACCGTGGTCCC-3'
	sites	
Antisense		

#### **B.** Additional Information

#### 1) Key Research Accomplishments:

- variable region genes for both heavy and light chain regions for monoclonal antibodies 8H11 and 10H8 were isolated and cloned
- corresponding VL and VH regions were spliced together to form a single chain antibody chimeric gene (scFv)
- scFv of 10H8 was spliced to a human IgG constant region gene to produce dimer of scFvs: the minibody bound to the extracellular domain of the HER-2/neu protein by enzyme-linked immunosorbent assay

#### 2) Reportable Outcomes:

Manuscript submitted and in review:

1. **Park, J.M.**, Yang, X., Park, J.J., Press, O.W., and Press, M.F. Assessment of Novel Anti-p185<sup>HER-2</sup> Monoclonal Antibodies for Internalization-Dependent Therapies (submitted to *Hybridoma*). (Draft of manuscript enclosed)

Poster presentation:

1. **Park, J.M.**, Yang, X., Press, O.W., and Press, M.F. "Internalization of Monoclonal Antibodies by HER-2/neu Overexpressing Cells." Poster presented at the American Association for Cancer Research 89th Annual Meeting in New Orleans, LA, on March 31, 1998. Abstract in the *Proceedings of the American Association for Cancer Research*, 39: 439, 1998. (enclosed)

# Assessment of Novel anti-p185<sup>HER-2</sup> Monoclonal Antibodies for Internalization-Dependent Therapies

Jinha M. Park<sup>1</sup>, Xiaowei Yang<sup>1</sup>, John J. Park<sup>1</sup>, Oliver W. Press<sup>2</sup>, and Michael F. Press<sup>1</sup>\*

<sup>1</sup>Breast Cancer Research Program and the Department of Pathology
USC/Norris Comprehensive Cancer Center
University of Southern California School of Medicine
1441 Eastlake Avenue, Mailstop 73
Los Angeles, California 90033

<sup>2</sup> Departments of Biological Structure and Medicine University of Washington School of Medicine and the Fred Hutchinson Cancer Research Center Seattle, Washington 98195

\* To whom correspondence should be addressed:

Michael F. Press USC/Norris Comprehensive Cancer Center 1441 Eastlake Avenue, Mailstop 73 Los Angeles, California 90033

Voice (323) 865-0563 FAX (323) 865-0122 e-mail: villalob@hsc.usc.edu

Running Title: Internalizable Monoclonal Antibodies

#### **ABSTRACT**

Novel therapies which require internalization of effector domains may be improved by assessing the efficiency of post-binding receptor-mediated endocytosis. To achieve targeted gene therapy or immunotoxin therapy, natural vector-host tropisms must be altered. Recent improvements in monoclonal antibody engineering have expanded the potential range of host cells which can be targeted for therapeutic intervention. However, relatively little is known about cellular responses after binding of a vector construct. We have tested the utility of four novel monoclonal antibodies recognizing the extracellular domain of p185<sup>HER-2</sup>, a membrane receptor protein, for use in internalization-dependent therapies. All four antibodies bound to p185<sup>HER-2</sup> in a number of immunoassays. Two antibodies recognized accessible epitopes of p185<sup>HER-2</sup> on viable cells. Radioimmunoassay demonstrated that antibody-membrane receptor complexes formed by two antibodies were internalized and trafficked through an endolysosomal degradative pathway. Two of the four antibodies evaluated were found to have favorable internalization characteristics suitable for incorporation in a targeting vector. This analytical approach could be applied to antibodies prior to and after fusion with various vectors or toxins to determine the potential utility of the antibodies for targeted therapy.

#### INTRODUCTION

Targeted therapy for human cancers requires the use of well-characterized reagents that specifically recognize tumor cells in the host background. Recent identification of differences in the genotype and molecular stucture of cancer cells has provided an opportunity to develop targetable reagents for the specific therapy of selected human cancers (1-4). Amplification of the HER-2/neu oncogene in human breast cancers, endometrial cancers, ovarian cancers, gastric cancers, and salivary gland carcinomas provides a potential molecular target for these cancers (5-HER-2/neu gene amplification is associated with overexpression of the p185<sup>HER-2</sup> protein. Amplification and overexpression is correlated with a shorter time to relapse and a shorter overall patient survival (8, 9). A humanized monoclonal antibody, Herceptin<sup>TM</sup>, has been used to successfully treat some women with HER-2/neu overexpressing breast and ovarian cancers, demonstrating that p185<sup>HER-2</sup> can be used to selectively target human cancers (10, 11). While outcomes have improved for patients treated with Herceptin<sup>TM</sup>, more effective classes of antibody-based therapies need to be developed to capitalize on cancer specific antigen expression.

Several groups have tested p185<sup>HER-2</sup> overexpressing cells as a target for gene therapy (12-15). Targeted delivery of genes has been attempted by altering cognate receptors on virions with cellular receptor ligands and single-chain antibodies (16-19). Although these vectors have successfully bound p185<sup>HER-2</sup> overexpressing cells, none have resulted in significant rates of gene transduction.

Because the successful gene therapy vector will require not only cell targeting, but internalization of the vector and expression of a therapeutic gene, it is important to evaluate as many of these steps as possible prior to construction of the vector. To this end, we isolated several anti-p185<sup>HER-2</sup> monoclonal antibodies and characterized their internalization potential using a rapid, quantifiable radioimmunoassay. Two of the four p185<sup>HER-2</sup> monoclonal antibodies bound to viable, intact target cells, were internalized and then trafficked through an endolysosomal pathway. These antibodies are expected to be useful in targeting treatment vectors that require internalization for therapeutic effect.

#### MATERIALS AND METHODS

Production and isolation of monoclonal antibodies to the extracellular domain (ECD) of p185<sup>HER-2</sup> involved the use of recombinant ECD<sup>HER-2</sup> protein or viable HER-2/neu overexpressing cell lines for immunization of BALB/c mice, and screening of hybridomas with ELISA and immunocytochemical assays. The monoclonal antibodies were purified by affinity chromatography. The specificity of the antibodies was determined with Western immunoblot analyses, immunoprecipitation assays, and immunohistochemistry. The ability of monoclonal antibodies to specifically recognize viable HER-2/neu overexpressing cell lines was evaluated with fluorescence microscopy and flow cytometry. Cellular trafficking of monoclonal antibodies was characterized with radioimmunoassay methods.

#### Protein production and purification

A truncated p185<sup>HER-2</sup> protein was synthesized and injected into mice as the initial immunogen for generating anti-p185<sup>HER-2</sup> monoclonal antibodies. The cDNA of the HER-2/neu gene was cut between the NcoI and SphI sites, yielding a portion of the open reading frame which includes the initiation codon extending to the transmembrane domain (20). The 2-kilobase DNA insert was ligated into the multiple cloning site immediately downstream of an inducible *trp-lac* promoter of a pTrcHisA expression vector (Invitrogen, San Diego, CA). Successful in-frame subcloning of the HER-2/neu gene was confirmed by restriction fragment analysis and direct DNA sequencing (Amersham Pharmacia Biotech, Piscataway, NJ). TOP10 *E. coli* (Invitrogen) were transformed using the HER-2/neu expression

vector and induced to produce a fusion protein containing a leader peptide with six contiguous histidine residues followed by the ECD<sup>HER-2</sup>. Proteins were purified by conditions using metal chelate affinity chromatography on Ni<sup>2+</sup>-NTA-agarose as suggested by the manufacturer (QIAGEN, Valencia, CA).

#### Cell culture

In addition to recombinant ECD<sup>HER-2</sup> protein, p185<sup>HER-2</sup> overexpressing intact cells (NIH/189 and SKBR-3 cells) were used to immunize BALB/c mice. NIH/189 and NIH 3T3 cells were used as sources of intact or solubilized p185<sup>HER-2</sup> protein for immunologic assays. The NIH/189 cell line, which has been described previously as a p185<sup>HER-2</sup> overexpressor, was a generous gift from C. Richter King (21).

NIH 3T3, NIH/189, and A431 human epidermoid carcinoma cell lines were grown in DMEM (Life Technologies, Grand Island, NY) supplemented with 10% fetal bovine serum (FBS, Hyclone, Logan, UT) and penicillin/streptomycin (Life Technologies). The SKBR-3 human breast adenocarcinoma cell line was grown in McCoy's 5A media supplemented with 10% FBS and penicillin/streptomycin. Normal HMEC (human mammary epithelial cell) cells were grown in media formulated by the supplier of the cells (Clonetics, San Diego, CA). These cells were used as non-p185HER-2 expressing controls for Western blots and immunoprecipitations. Sp2/0-Ag14 mouse myeloma cells were grown in RPMI-1640 media supplemented with sodium pyruvate, L-glutamine, penicillin/streptomycin, and 15% FBS prior to cell fusion.

#### *Immunization*

Two sets of 8-9 week-old female BALB/c mice were immunized with either protein or live cells as immunogens. Three female BALB/c mice received three sequential immunizations of 100 μg, 50 μg, and 50 μg each of the truncated HER-2/neu protein. The first immunization of ECDHER-2 was an intraperitoneal injection of protein emulsified in Freund's complete adjuvant (Sigma, St. Louis, MO). At weeks 3 and 5, mice were given two immunizations of 50 μg of protein mixed with Freund's incomplete adjuvant (Sigma). The mouse that yielded two monoclonal antibodies (5A7 and 11F11) in this work was given three further boosts of 50 μg ECDHER-2.

Mice were also inoculated with live p185<sup>HER-2</sup>-overexpressing cells in order to ensure the widest range of monoclonal antibodies against all potential ECD<sup>HER-2</sup> epitopes, including glycosylated regions. Initial immunizations were intraperitoneal injections of 2-5 x10<sup>6</sup> SKBR-3 human breast cancer cells in serum-free RPMI-1640 media over a 7 month period. The mouse that yielded monoclonal antibodies 8H11 and 10H8 had another boost of 5x10<sup>6</sup> NIH/189 cells in Freund's incomplete adjuvant injected intraperitoneally and 1x10<sup>6</sup> cells injected subcutaneously. The final immunizations before fusion were an intraperitoneal boost of 10x10<sup>6</sup> NIH/189 cells in PBS and an intravenous injection of 50 μg of ECD<sup>HER-2</sup> protein in a buffer containing 0.1M NaH<sub>2</sub>PO<sub>4</sub> and 10 mM TrisHCl, pH 8.0.

#### Hybridoma production and monoclonal antibody screening

Spleen cells from BALB/c mice immunized with either protein or cells were fused to Sp2/0-Ag14 mouse myeloma cells to generate hybridoma cells (22). Cells were fused with polyethylene glycol in a method modified from Köhler and Milstein (23). Hybridoma cells were selected for anti-p185<sup>HER-2</sup> antibody production by enzyme-linked immunosorbent assay (ELISA) and immunohistochemistry.

#### Protein and cell ELISA

ELISA was used as the primary method to screen hybridoma cell clone supernatants for secretion of antibodies. ECDHER-2 (250 ng/well) protein was coated onto 96-well plates in PBS. After blocking in 3% bovine serum albumin (BSA), 50 μL of supernatant were incubated from 1-2 hours at room temperature. After washing 3 times with PBS, a 1:2000 dilution (in 3% BSA) of horseradish peroxidase-conjugated goat anti-mouse secondary antibody (Bio-Rad, Hercules, CA) was incubated for 30 minutes at room temperature. Positive wells were visualized by *o*-phenylene diamine (OPD) substrate (Sigma) and read at O.D. 490 nm on an ELISA plate reader (Bio-Tek Instruments, Inc., Winooski, VT).

To ensure detection of antibodies recognizing conformational and polysaccharide epitopes, cell ELISA using NIH3T3 and NIH/189 cells was employed to detect such clones. Cells (1x10<sup>4</sup>/well) were fixed using 100% methanol onto 96-well Linbro Titertek (ICN, Irvine, CA) plates after overnight attachment onto 1.5% gelatin (Difco, Detroit, MI). The protocol for protein ELISA was used for cell ELISA.

#### *Immunohistochemistry*

Frozen and paraffin-embedded surgical biopsies of both known low and high p185<sup>HER-2</sup> expressor breast cancers were tested for binding of p185<sup>HER-2</sup> by these four monoclonal antibodies. The use of human tissue was approved prior to this work by the Institutional Review Board of the USC School of Medicine.

Frozen tissue section immunohistochemistry was used as a secondary screening method to detect positive hybridomas that secreted antibodies to intact p185<sup>HER-2</sup> from human tissue. p185<sup>HER-2</sup> in tissue sections were detected by the peroxidase-anti-peroxidase technique as previously described (24).

Breast cancer cases embedded in paraffin as a single multi-tumor block were also tested for p185<sup>HER-2</sup> recognition. Formalin-fixed breast cancer biopsies with known low and high expression of p185<sup>HER-2</sup> protein were embedded in a specified matrix in a single paraffin block (25). Monoclonal antibodies purified from ascites were used at equal concentrations to compare localization in a single specimen.

#### Western blots and immunoprecipitation

Monoclonal antibodies were used to probe for full-length p185<sup>HER-2</sup> in total protein lysates of both mouse and human cell lines loaded equally in SDS-PAGE. The method used has been previously described (26).

Immunoprecipitations demonstrated the ability of monoclonal antibodies to bind to full length HER-2/neu in soluble detergent lysates of mouse and human cell lines. The immunoprecipitation method used has been previously described (27). A rabbit polyclonal antibody (R60) previously reported for use in p185<sup>HER-2</sup> Western blots was used (9). A 1:2000 dilution of R60 polyclonal sera in 10% goat serum

was used to detect the C-terminus of immunoprecipitated p185<sup>HER-2</sup> protein in the blot.

#### Flow cytometry

A prerequisite for the determination of cellular trafficking of monoclonal antibody-antigen complexes is the binding of monoclonal antibody to its target on the surface of p185<sup>HER-2</sup> overexpressing cells. Fluorescence-activated cell sorting (FACS) was used to determine ligation of monoclonal antibodies to native p185<sup>HER-2</sup> on the surface of SKBR-3 cells. The flow cytometry method has been previously described (28). Cells were analyzed on a Coulter Elite ESP Cell Sorter (Beckman Coulter, Miami Lakes, FL). Mouse IgG isotype control was used as a negative control for FACS (Zymed).

#### Radioimmunoassay

A method to detect internalization and intracellular trafficking of monoclonal antibodies directed against cell-surface antigens was used as previously described to characterize anti-p185<sup>HER-2</sup> monoclonal antibodies with this potential (29, 30). Monoclonal antibodies 8H11 and 10H8 were labeled with <sup>125</sup>I (Amersham Pharmacia) using the Iodo-Gen method (Pierce). NIH 3T3 and NIH/189 cells were pulsed with labeled monoclonal antibodies and chased with 1% BSA/RPMI-1640. Supernatant, acid-releasable surface-bound, and intracellular label were collected and counted on a Cobra Automated Gamma Counter (Packard, Meriden, CT). The supernatant fraction was further divided between 25% TCA precipitable and 25% TCA soluble components representing shed, intact monoclonal antibodies and

degraded monoclonal antibodies, respectively. These fractions were collected at times of 0, 1, 4, 19, and 24 hours. All timepoint determinations were corrected for non-specific initial binding (T=0 hours) by subtracting counts in fractions after surface blocking by unlabeled monoclonal antibodies.

#### RESULTS

Four monoclonal antibodies have been isolated and characterized for their individual characteristics in standard immunoassays and in an internalization assay to determine their potential for use with internalization-dependent therapies.

Production and characterization of monoclonal antibodies. A 70 kDa, truncated ECD<sup>HER-2</sup> protein was isolated from inclusion bodies of bacteria which had been transformed by an inducible expression plasmid (Fig. 1). This ECD<sup>HER-2</sup> protein solution was used as the immunogen in mice producing hybridoma clones expressing 5A7 and 11F11 monoclonal antibodies recognizing p185<sup>HER-2</sup> in ELISA assays, Western immunoblot and immunohistochemistry of tissue sections but not in viable cells (see below). BALB/c mice were also immunized with viable cells overexpressing p185<sup>HER-2</sup> as well as the recombinant ECD<sup>HER-2</sup> protein in order to isolate hybridoma clones 8H11 and 10H8.

Both protein and cell ELISA were used as the primary screening methods for detection of antibodies to p185<sup>HER-2</sup> from hybridoma supernatants. Supernatants positive in either of these screens were further tested for the presence of antibodies which could detect full-length endogenous p185<sup>HER-2</sup> in frozen breast cancer tissue from a patient with HER-2/neu gene overexpression. The monoclonal antibodies from hybridomas which bound p185<sup>HER-2</sup> in these screens were 5A7, 11F11, 8H11, and 10H8. The hybridoma cells were isolated as monoclonally derived cell lines after cloning twice by limiting dilution. 5A7, 11F11, and 8H11 were of the IgG<sub>1</sub>/ $\kappa$  class and isotype. 10H8 was of the IgG<sub>2</sub>/ $\kappa$  class and isotype.

The specificity of the monoclonal antibodies was tested in Western blots, immunoprecipitation assays, immunohistochemistry, and immunofluorescence. By ELISA, all four monoclonal antibodies bound to ECDHER-2 purified from bacterial cell lysates. Cell ELISA performed with whole cells either overexpressing or not expressing HER-2/neu showed that all four antibodies bound p185HER-2 on NIH/189 cells but did not bind to antigens on the surface of wild-type NIH 3T3 cells (Table 1). In Western blots, three of the monoclonal antibodies, 5A7, 11F11, and 10H8, recognized human p185HER-2 from either SKBR-3 cells (endogenous) or NIH/189 cells (transfected) (Fig. 2). There was no cross-reactivity identified with other proteins in these cell lines or with EGF-R expressed in A431 cells. The 8H11 monoclonal antibody did not detect denatured p185HER-2 on Western blots. Both 10H8 and 8H11 were able to bind to soluble p185HER-2 in immunoprecipitation reactions while 5A7 and 11F11 were not able to bind to soluble p185HER-2 (Fig. 3). The presence of additional bands in the immunoblots reflects either alternatively spliced variants or proteolytically degraded fragments of p185HER-2.

Recognition of endogenous p185<sup>HER-2</sup> in membranes of human tissue. The ability to bind endogenous forms of p185<sup>HER-2</sup> in breast cancer tissue was tested with known low- and high-expression tissue samples by immunohistochemistry. All four monoclonal antibodies recognized endogenous p185<sup>HER-2</sup> on the cell membranes of tumor cells from a frozen human breast cancer biopsy (Table 1). As a control, the monoclonal antibodies were tested on a known low-expressor of p185<sup>HER-2</sup> and did not show localization in the membranes (data not shown). The utility of these monoclonal antibodies for measuring p185<sup>HER-2</sup> status in archival

paraffin-embedded biopsies was also tested. Similar to the findings in Western blots, 8H11 was unable to recognize p185<sup>HER-2</sup> while 5A7, 11F11, and 10H8 were able to recognize p185<sup>HER-2</sup> in the formalin-fixed tissue sections (Fig. 4).

Monoclonal antibody binding to viable intact p185<sup>HER-2</sup> overexpressing cells. While the utility of these monoclonal antibodies in standard immunoassays was demonstrated, the potential therapeutic utility of these monoclonal antibodies needed to be assessed on viable cells. The binding of monoclonal antibodies to p185<sup>HER-2</sup> was assessed in viable, intact cells by flow cytometric assays using SKBR-3 human breast cancer cells. Only two monoclonal antibodies, 8H11 and 10H8, were able to bind to p185<sup>HER-2</sup> expressed on the surface of these cells (Fig. 5). Both 8H11 and 10H8 shifted the population of cells indicating a high level of fluorescence labeling. 5A7 and 11F11 did not bind to SKBR-3 cells and exhibited a fluorescence equivalent to that of control IgG antibody. Similar observations were made by fluorescence microscopy (data not shown).

Internalization and cellular trafficking of anti-p185<sup>HER-2</sup> antibodies in p185<sup>HER-2</sup> overexpressing cells. Most targeted therapies require antibodies to be able to target cell-specific antigens and trigger internalization. To determine the subcellular distribution of bound monoclonal antibodies, radioimmunoassays were performed to determine the relative amounts of labeled antibodies in each compartment of the cultured NIH/189 cells. The relative percentage of <sup>125</sup>I labeled 8H11 and 10H8 in different cellular fractions was found to be similar for both 8H11 and 10H8 (Fig. 6). In these pulse-chase experiments, 8H11 and 10H8 were

found to be almost exclusively surface-bound at the beginning of the experiment (T=0 hour), and to be internalized subsequently. Surface label decreased to 35% and 25% of total cpm for 8H11 and 10H8, respectively, over a 24 hour period when maintained at 37°C (Fig. 6A and 6C). At 1 hour of incubation, intracellular <sup>125</sup>I rose to a peak and then leveled off throughout the assay. The net loss of surfacebound labeled monoclonal antibody was offset by a net increase in supernatant This supernatant fraction was further divided between TCA radioactivity. precipitable and TCA soluble fractions representing intact monoclonal antibody and degraded monoclonal antibody fragments. The net increase of TCA soluble counts rose faster than the TCA precipitable fractions reflecting a higher proportion of internalized, lysosomally degraded, and exocytosed monoclonal antibody fragments compared with passively shed monoclonal antibody (Fig. 6B and 6D). After 24 hours, 45% of monoclonal antibody 8H11 was internalized, degraded, and exocytosed (Fig. 6A). A similar amount of monoclonal antibody 10H8 was internalized but a higher fraction of the monoclonal antibody was shed (Fig. 6C). As a control to test non-specific internalization, labeled 8H11 and 10H8 were both found exclusively in the supernatant fraction of NIH 3T3 cells. Separation of TCA precipitable from TCA soluble fractions showed that both 8H11 and 10H8 were found exclusively as intact proteins (data not shown). Thus, both 8H11 and 10H8 neither bound to proteins on the surface of NIH 3T3 cells nor were internalized by endocytosis, trafficked to lysosomes, and exocytosed.

#### **DISCUSSION**

Targeted gene therapy and immunotherapy capitalize on the strategies of viruses and biological toxins for cellular internalization after docking to surface receptors. Receptor-mediated endocytosis is the most common mode of vector and toxin internalization (31, 32). Rational cell-specific vector design for gene therapy and immunotherapy thus requires development of monoclonal antibodies directed to cell surface antigens, such as p185<sup>HER-2</sup>, expressed by target cells (16, 18, 33). Furthermore, the internalization potential of these monoclonal antibodies should be assessed prior to retargeting of gene therapy or immunotherapy vectors to ensure efficient delivery of genes or toxins to subcellular sites of action. Here we used a radioimmunoassay to identify two novel monoclonal antibodies which were internalized after binding a cell surface receptor and, therefore, may be useful for delivery of genes and/or toxins into target cells via an endolysosomal pathway.

Some monoclonal antibodies mimic the effects of ligands in experimental systems. Monoclonal antibodies directed against the rat p185 receptor trigger receptor dimerization, phosphorylation, and downregulation of rat p185, whereas monovalent Fab' have not (34). Another p185<sup>HER-2</sup> monoclonal antibody was shown to undergo receptor-mediated endocytosis by electron microscopy following capping at membranes of p185<sup>HER-2</sup> overexpressing NIH 3T3 cells (30). Intracellular trafficking was previously documented by immunogold detection of other monoclonal antibodies in membrane-bound organelles (29). Although this assay has been used to determine the subcellular fate of the murine precursor to the therapeutic monoclonal antibody Herceptin<sup>™</sup>, only a few other monoclonal

antibodies in experimental protocols for immunotoxin therapies or targeted gene therapies have been tested for internalization (30, 35, 36). Furthermore, the radioimmunoassays used did not identify evidence of endolysosomal trafficking. Here, a rapid radioimmunoassay has been tested for its utility in determining the post-binding subcellular fates of novel monoclonal antibodies to the p185<sup>HER-2</sup> receptor.

Evidence of 8H11 and 10H8 antibody trafficking through receptor-mediated endocytosis and degradation by lysosomal sorting was demonstrated. TCA soluble fractions of supernatant counts were found in 8H11 and 10H8 assays. No TCA soluble counts were found in the supernatants of NIH 3T3 pulsed control cells. The radioimmunoassay therefore delineated the fates of antibodies to be assessed for receptor-mediated endocytosis.

Future retargeting of vectors and toxins can be improved by characterizing the post-binding fates of the engineered constructs. In particular, non-viral antibody vectors which lack viral endosome escape domains have been fused with viral or toxin polypeptides known to disrupt endosomal membrane integrity (15, 32, 37-39). Endosomal routing is critical for these added domains to mediate cytoplasmic entry of molecular conjugates. Since divalency is required for antibodies to trigger receptor dimerization and internalization, divalent binding sites may be needed in at least some engineered vectors to ensure receptor-mediated endocytosis (34, 40). Therefore, the radioimmunoassay described may be useful in predetermining antibodies and antibody-based vectors for dimerization and subsequent receptor-mediated endocytosis.

To develop effective monoclonal antibody-based therapies, determining the internalization potential and, particularly, the trafficking of monoclonal antibody-antigen to an endolysosomal pathway is important. After altering targeting specificities, prokaryotic and plant toxin-monoclonal antibody conjugates and targeted viral and non-viral vector conjugates should be assessed for retention of internalization potential. Hence, the radioimmunoassay which determined the internalization of monoclonal antibodies 8H11 and 10H8 could be used to assess the subcellular fates of newly developed monoclonal antibodies against cancer specific surface antigens to improve internalization-dependent therapies.

Acknowledgments. The authors would like to thank Michael Thai, Yi Zhao, Karen Petrosyan, Janet Howell-Clark, Alan L. Epstein, Jason L. Hornick, Myra Mizokami, and Ivonne Villalobos for assistance in this work. We thank Mark Hechinger of the USC Flow Cytometry Laboratory for assistance with cell sorting. This work was supported in part by NIH Grant R01-CA48780 and by a Department of Defense Predoctoral Traineeship Grant to J.M.P. (DAMD 17-97-1-7232).

Address reprint requests to:

Michael F. Press, M.D., Ph.D.

USC/Norris Comprehensive Cancer Center

1441 Eastlake Avenue, Mailstop 73

Los Angeles, California 90033

#### References

- 1. Benz, C. C., Brandt, B. H., and Zanker, K. S. Gene diagnostics provide new insights into breast cancer prognosis and therapy, Gene. *159*: 3-7, 1995.
- 2. Dhingra, K., Hittelman, W. N., and Hortobagyi, G. N. Genetic changes in breast cancer consequences for therapy?, Gene. *159*: 59-63, 1995.
- 3. Hung, M.-C., Matin, A., Zhang, Y., Xing, X., Sorgi, F., Huang, L., and Yu, D. *HER-2/neu*-targeting gene therapy a review, Gene. *159*: 65-71, 1995.
- 4. Sledge, G. W. Implications of the new biology for therapy in breast cancer, Sem. Oncol. 23: 76-81, 1996.
- 5. Albino, A. P., Jaehne, J., Altorki, N., Blundell, M., Urmacher, C., Lauwers, G., Niedzwiecki, D., and Kelsen, D. P. Amplification of HER-2/neu gene in human gastric adenocarcinomas: Correlation with primary site., European J. Surg. Oncol. 21: 56-60, 1995.
- 6. Press, M. F., Hung, G., Pike, M. C., George, J., Dietz-Band, J., James, W., Slamon, D. J., Batsakis, J. G., and El-Naggar, A. K. Amplification and overexpression of HER-2/*neu* in carcinomas of the salivary gland: Correlation with poor prognosis, Cancer Res. *54*: 5675-5682, 1994.
- 7. Saffari, B., Jones, L. A., El-Naggar, A., Felix, J. C., George, J., and Press, M. F. Amplification and overexpression of HER-2/neu (c-erbB2) in endometrial cancers: correlation with overall survival, Cancer Res. 55: 5693-5698, 1995.

8. Slamon, D. J., Clark, G. M., Wong, S. G., Levin, W. J., Ullrich, A., and McGuire, W. L. Human breast cancer: correlation of relapse and survival with amplification of the HER-2/neu oncogene, Science. 235: 177-182, 1987.

- 9. Slamon, D. J., Godolphin, W., Jones, L. A., Holt, J. A., Wong, S. G., Keith, D. E., Levin, W. J., Stuart, S. G., Udove, J., Ullrich, A., and Press, M. F. Studies of the HER-2/neu proto-oncogene in human breast and ovarian cancer, Science. 244: 707-712, 1989.
- 10. Baselga, J., Tripathy, D., Mendelsohn, J., Baughman, S., Benz, C. C., Dantis, L., Sklarin, N. T., Seidman, A. D., Hudis, C. A., Moore, J., Rosen, P. P., Twaddell, T., Henderson, I. C., and Norton, L. Phase II study of weekly intravenous recombinant humanized anti-p185<sup>HER2</sup> monoclonal antibody in patients with HER2/neu-overexpressing metastatic breast cancer, J. Clin. Oncol. *14:* 737-744, 1996.
- 11. Cobleigh, M. A., Vogel, C. L., Tripathy, D., Robert, N. J., Scholl, S., Fehrenbacher, L., Paton, V., Shak, S., Lieberman, G., and Slamon, D. Efficacy and safety of Herceptin (Humanized anti-HER2 antibody) as a single agent in 222 women with HER2 overexpression who relapsed following chemotherapy for metastatic breast cancer, Proc. Am. Soc. Clin. Oncol. *17*: 97a, 1998.
- 12. Foster, B. J. and Kern, J. A. HER2-targeted gene transfer, Hum. Gene Ther. 8: 719-727, 1997.
- 13. Schnierle, B. S., Moritz, D., Jeschke, M., and Groner, B. Expression of chimeric envelope proteins in helper cell lines and integration into Moloney murine leukemia virus particles, Gene Therapy. *3*: 334-342, 1996.

14. Han, X., Kasahara, N., and Kan, Y. W. Ligand-directed retroviral targeting of human breast cancer cells, Proc. Natl. Acad. Sci. USA. 92: 9747-9751, 1995.

- 15. Fominaya, J. and Wels, W. Target cell-specific DNA transfer mediated by a chimeric multidomain protein, J. Biol. Chem. *271*: 10560-10568, 1996.
- 16. Cosset, F.-L. and Russell, S. J. Targeting retrovirus entry, Gene Therapy. 3: 946-956, 1996.
- 17. Kasahara, N., Dozy, A. M., and Kan, Y. W. Tissue-specific targeting of retroviral vectors through ligand-receptor interactions, Science. *266*: 1373-1376, 1994.
- 18. Schnierle, B. S. and Groner, B. Retroviral targeted delivery, Gene Therapy. *3:* 1069-1073, 1996.
- 19. Somia, N. V., Zoppe, M., and Verma, I. M. Generation of targeted retroviral vectors by using single-chain variable fragment: an approach to *in vivo* gene delivery, Proc. Natl. Acad. Sci. USA. 92: 7570-7574, 1995.
- 20. Coussens, L., Yang-Feng, T. L., Liao, Y. C., Chen, E., Gray, A., McGrath, J., Seeburg, P. H., Libermann, T. A., Schlessinger, J., Francke, U., Levinson, A., and Ullrich, A. Tyrosine kinase receptor with extensive homology to EGF receptor shares chromosomal location with *neu* oncogene, Science. *230*: 1132-1139, 1985.
- 21. DiFiore, P. P., Pierce, J. H., Krause, M. H., Segatto, O., King, C. R., and Aaronson, S. A. *erb*B-2 is a potent oncogene when overexpressed in NIH/3T3 cells, Science. *237:* 178-182, 1987.

22. Shulman, M., Wilde, C. D., and Köhler, G. A better cell line for making hybridomas secreting specific antibodies, Nature. *276*: 269-270, 1978.

٠,٠,٠,٠,٠

- 23. Köhler, G. and Milstein, C. Continuous cultures of fused cells secreting antibody of predefined specificity, Nature. *256*: 495-497, 1975.
- 24. Press, M. F., Cordon-Cardo, C., and Slamon, D. J. Expression of the HER-2/neu proto-oncogene in normal human adult and fetal tissues., Oncogene. *5*: 953-952, 1990.
- 25. Petrosyan, K. and Press, M. F. Multispecimen tissue blocks in pathology: an improved technique of preparation, Laboratory Investigation. *77:* 541-542, 1997.
- 26. Zhou, Y. and Lee, A. S. Mechanism for the suppression of the mammalian stress response by genistein, an anticancer phytoestrogen from soy, J. Natl. Cancer Inst. *90*: 381-388, 1998.
- 27. Grawunder, U., Wilm, M., Wu, X., Kulesza, P., Wilson, T. E., Mann, M., and Lieber, M. R. Activity of DNA ligase IV stimulated by complex formation with XRCC4 protein in mammalian cells, Nature. *388*: 492-495, 1997.
- 28. Yang, X. and Page, M. An  $M_r$  7-kDa membrane protein overexpressed in human multidrug-resistant ovarian cancer cells, Cancer Lett. 88: 171-178, 1995.
- 29. Press, O. W., Hansen, J. A., Farr, A., and Martin, P. J. Endocytosis and degradation of murine anti-human CD-3 monoclonal antibodies by normal and malignant T-lymphocytes, Cancer Res. 48: 2249-2257, 1988.
- 30. De Santes, K., Slamon, D., Anderson, S. K., Shepard, M., Fendly, B., Maneval, D., and Press, O. Radiolabeled antibody targeting of the HER-2/neu oncoprotein, Cancer Res. 52: 1916-1923, 1992.

er contract

- 31. Hunter, E. and Swanstorm, R. Retrovirus envelope glycoproteins, Curr. Topics Micro. Immuno. *157:* 188-253, 1990.
- 32. Michael, S. I. and Curiel, D. T. Strategies to achieve targeted gene delivery via the receptor-mediated endocytosis pathway, Gene Therapy. *1:* 223-232, 1994.
- 33. Frankel, A. E., FitzGerald, D., Siegall, C., and Press, O. W. Advances in immunotoxin biology and therapy: a summary of the Fourth International Symposium on Immunotoxins, Cancer Res. *56*: 926-932, 1996.
- 34. Yarden, Y. Agonistic antibodies stimulate the kinase encoded by the *neu* protooncogene in living cells but the oncogenic mutant is constituitively active, Proc. Natl. Acad. Sci. USA. 87: 2569-2573, 1990.
- 35. Tagliabue, E., Centis, F., Campiglio, M., Mastroianni, A., Martignone, S., Pellegrini, R., Casalini, P., Lanzi, C., Menard, S., and Colnaghi, M. I. Selection of monoclonal antibodies which induce internalization and phosphorylation of p185<sup>HER2</sup> and growth inhibition of cells with HER2/*neu* gene amplification, Int. J. Cancer. *47:* 933-937, 1991.
- 36. Maier, L. A., Xu, F. J., Hester, S., Boyer, C. M., McKenzie, S., Bruskin, A. M., Argon, Y., and Bast, R. C. Requirements for the internalization of a murine monoclonal antibody directed against the HER-2/neu gene product c-erbB-2, Cancer Res. 51: 5361-5369, 1991.
- 37. Fisher, K. J. and Wilson, J. M. The transmembrane domain of diphtheria toxin improves molecular conjugate gene transfer, Biochem. J. *321*: 49-58, 1997.
- 38. Fisher, K. J. and Wilson, J. M. Biochemical and functional analysis of an adenovirus-based ligand complex for gene transfer, Biochem. J. 299: 49-58, 1994.

Contract Contract

- 39. Uherek, C., Fominaya, J., and Wels, W. A modular DNA carrier protein based on the structure of diphtheria toxin mediates target cell-specific gene delivery, J. Biol. Chem. *273:* 8835-8841, 1998.
- 40. Drebin, J. A., Link, V. C., Stern, D. F., Weinberg, R. A., and Greene, M.
- I. Down-modulation of an oncogene protein product and reversion of the transformed phenotype by monoclonal antibodies, Cell. 41: 695-706, 1985.

1, 4, 1, 1,

Table 1. Characteristics of anti-p185<sup>HER-2</sup> Monoclonal Antibodies

	1		1	1
IHC on Indirect immuno- paraffin fluorescence biopsies detection of SKBR-3 cells	ı		+	+
IHC on paraffin biopsies	+	+	•	+
IP IHC on I of frozen p p185 <sup>HER-2</sup> biopsies b	+	+	+	+
Of p185 <sup>HER-2</sup>	1	1	+	+
ELISA <sup>a</sup> type cell cell detection of ELISA <sup>b</sup> ELISA <sup>c</sup> ELISA <sup>c</sup> p185 <sup>HER-2</sup>	+	+	1	+
NIH/189 cell ELISA°	+	+	+	+
NIH 3T3 wild- type cell ELISA <sup>b</sup>	•			
ECD protein ELISAª	+	+	+	+
Mouse mAb isotype and subleass	${ m IgG_I/K}$	$IgG_{_{\rm I}}/\kappa$	${ m IgG_{I}/K}$	$\mathrm{IgG}_{2a}/\mathrm{\kappa}$
Antigen	ECD protein	ECD protein	Live cells	10H8 Live cells
Name of mAb		11F11	8H11	10H8

<sup>a</sup>HER-2/neu receptor extracellular domain (ECD) polypeptide; ~70 kDa.

<sup>b</sup>NIH 3T3 cells lack p185<sup>HER-2</sup> expression.

<sup>c</sup>NIH/189 cells have p185<sup>HER-2</sup> overexpression.

Abbreviations used: mAb, monoclonal antibody; ELISA, enzyme-linked immunosorbent assay; IP, immunoprecipitation; IHC, immunohistochemistry.

## Figure Legends

4, 6, 5, 5,

Figure 1. Purification of recombinant HER-2/neu extracellular domain (ECD) protein with poly-His tag. The cDNA of HER-2/neu was cut between the NcoI and SphI sites and subcloned into an expression vector for production of a 70 kDa epitope-tagged protein. TM=transmembrane domain. Purified protein was resolved by SDS-PAGE, transferred to nitrocellulose and detected by an antibody to the poly-His tag.

Figure 2. Western blots of total protein lysates from human and mouse cell lines. Monoclonal antibodies 5A7, 11F11, 8H11, and 10H8 were tested for binding to p185<sup>HER-2</sup> in total protein lysates from normal human mammary epithelial cells (HMEC), human breast carcinoma cells overexpressing HER-2/neu (SKBR-3), human epidermoid carcinoma cells overexpressing EGF receptor (A431), NIH3T3 cells which lack HER-2/neu expression, and NIH/189 cells engineered to overexpress HER-2/neu.

Figure 3. Immunoprecipitation of p185<sup>HER-2</sup> by monoclonal antibody 8H11. Total protein lysates were incubated with the 8H11 monoclonal antibody. Immune complexes were resolved by SDS-PAGE and transferred to nitrocellulose. p185<sup>HER-2</sup> was detected by a rabbit polyclonal antibody recognizing a carboxy-terminal epitope of p185<sup>HER-2</sup>.

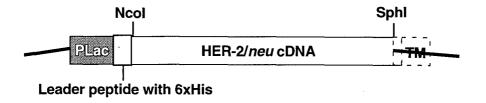


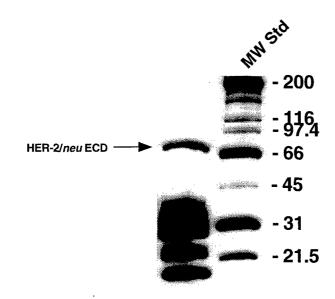
Figure 4. Immunohistochemical localization of p185<sup>HER-2</sup>. Tissue sections from a single archival paraffin-embedded breast tumor were analyzed for monoclonal antibody localization to membranes of carcinoma cells. Membrane localization of anti-p185<sup>HER-2</sup> monoclonal antibodies were detected by the peroxidase-antiperoxidase method.

Figure 5. Flow cytometry using novel monoclonal antibodies to detect p185<sup>HER-2</sup> on SKBR-3 cells. SKBR-3 cells overexpressing p185<sup>HER-2</sup> were incubated with indicated monoclonal antibodies and then tagged with secondary FITC-labeled antibodies. 8H11 and 10H8 monoclonal antibodies detected p185<sup>HER-2</sup> on the surface of viable SKBR-3 cells. IgG isotype control, 5A7, and 11F11 show no fluorescence.

Figure 6. Receptor-mediated endocytosis assays showing internalization and catabolism of monoclonal antibodies 8H11 and 10H8 by NIH/189 cells. (Top panels) Monoclonal antibodies labeled with <sup>125</sup>I were incubated on ice with NIH/189 cells. % Total cpm indicate fractions of label on the surface, within the cells, and in the supernatant at various incubation times at 37°C. Mean cpm were calculated for triplicate wells. (Bottom panels) Supernatant cpm was treated with 25% TCA and divided between TCA precipitable cpm (representing intact antibodies) and TCA soluble cpm (representing small MW metabolites of antibodies). Increased TCA soluble cpm fractions shows degradation and exocytosis of internalized monoclonal antibodies. (A and B) 8H11; (C and D) 10H8.

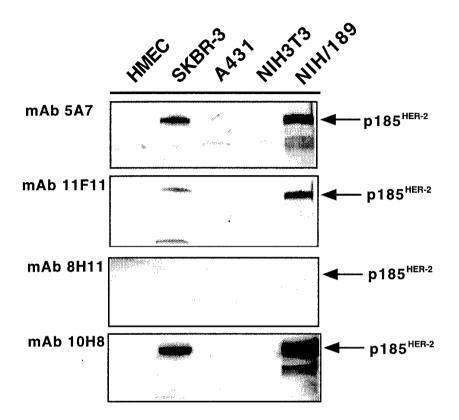
Figure 1.





Contract Contract

Figure 2.

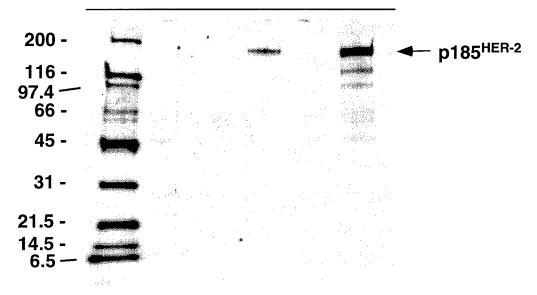


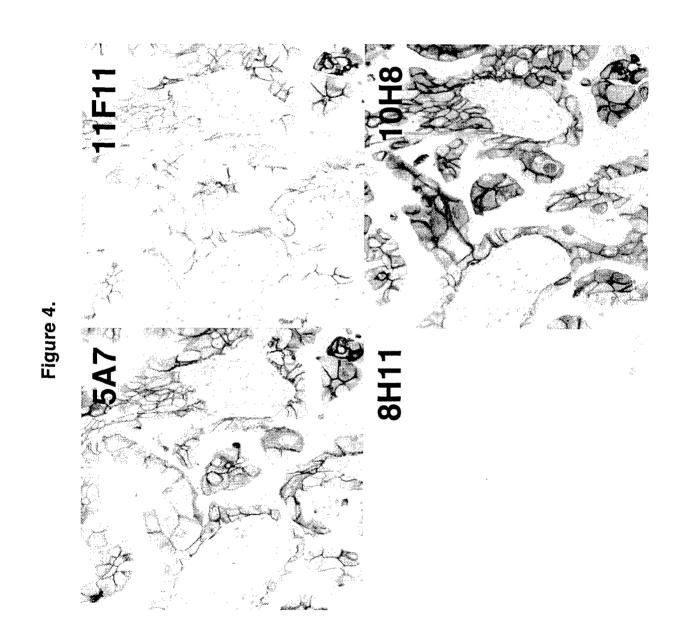
in the same

Figure 3.

IP: 8H11 mAb WB: R60 pAb

## MW Std LEC AGS SKER WHS TO WHITE





A 1.5.

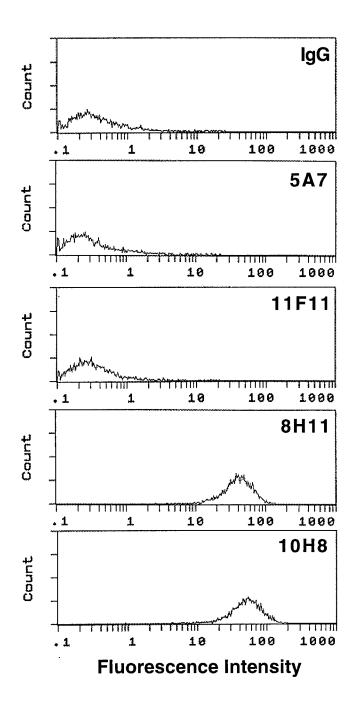
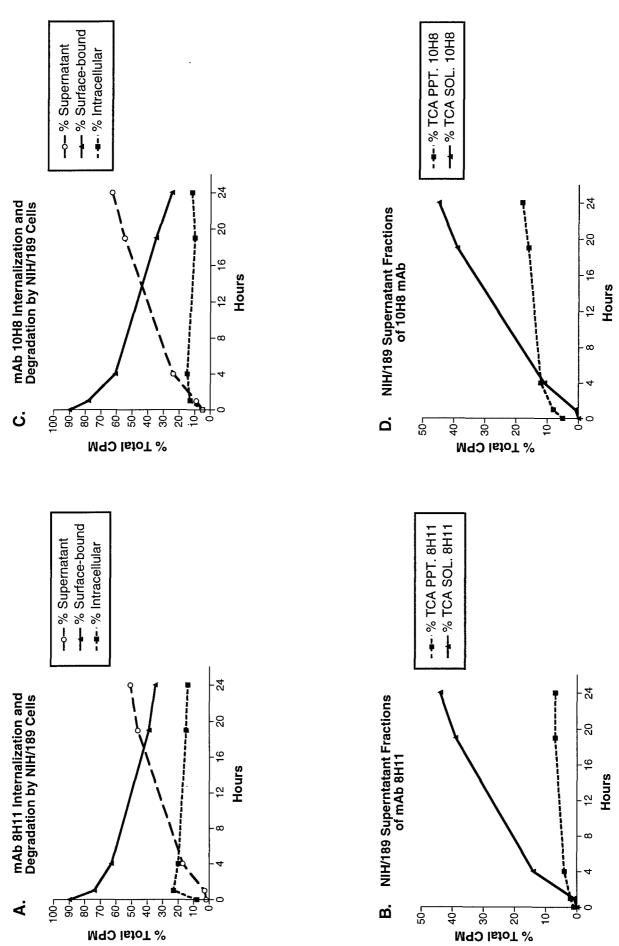


Figure 5.

Figure 6.



>1240%, 5/8 LTS; (2  $\mu$ g) >1240%, 5/9 LTS; (3  $\mu$ g) 420%, 3/8 LTS. The treatment of MR1 is specific and highly effective in vivo for the treatment of EGFRvIII aresuments. Clinical trials are in development.

2986 Ricin-A/anti-HER-2-immunoconjugate-induced p53-independent accrammed cell death is associated with selective depletion of intracellular 2 protein in ovarian cancer cells. Pusztai, L., Bozorgi, K., Xu, F.J., Bast, Jr., RC. University of Texas, M.D. Anderson Cancer Center, Houston, TX 77030. Our laboratory has recently demonstrated that the anti-HER-2/ricin-A-chain munotoxin (TA1-RTA) induces p53-independent apoptosis in ovarian cancer ine SKOV-3 clone 9002-18, that overexpresses HER-2. The TA-1 monoclonal and body alone does not interfere with the ligand binding ability of HER-2 receptor at affect the growth or survival of these cells. We hypothesized that the antibodyconjugate leads to apoptosis through inhibition of protein synthesis, induced ncin, which leads to an imbalance between the intracellular quantities of apoptotic (bcl-xs, bax) and anti-apoptotic (bcl-xl, bcl-2) molecules due to eferent half-life of these proteins. Changes in bcl-2, bcl-xl, bcl-xs and bax protein pression were detected by Western blotting at 0, 6, 12 and 36 hours after Shour incubation with TA1-RTA. Protein expression was quantified by densitometric analysis of Western blots. Bcl-xl and bcl-xs levels have not changed over 36 hour follow-up period. Bax protein level also remained stable until about 36 after exposure. In contrast, bcl-2 protein level has dropped to 50% by 12 after exposure and remained low throughout the 36 hour follow-up period. The results suggest that bcl-2 has the shortest half-life among the proteins sessed in this study when protein synthesis is inhibited in ovarian cancer cells. These findings raise the possibility that ricin-immunoconjugate induces p53independent programmed cell death through depletion of intracellular bol-2 pro-

ire or

tions

berg

ining

N1 .

at the

plex-

roven

com-

:umai

frace

lation

ov the

beled

or the

these

ained

of the

tides.

of, in

hanc-

grant

r the

GFR.

an. I.

titute.

ınadı

:eptor

istant

1ction

riable

in (M)

I form

bitory

alls of

2 vivo

lastic

other

e wa**s** 

tment

eased

05%

econd:

e IL2

1 μg

1998

#2987 Monoclonal Antibody FC-2.15 specifically recognizes Lewis X antigen and lyses Lewis X (+) colon carcinoma cells. Capurro, M., Bover, L., Portela, P. and Mordoh, J. Instituto de Investigaciones Bioquímicas "Fundación Campomar", Buenos Aires, Argentina.

Monoclonal Antibody (MAb) FC-2.15 is a murine IgM that lyses antigen (Ag) 2.15 (+) cells in the presence of human complement (C') and that has shown activity in a Phase I clinical trial. Ag-2.15 has been now identified as Lewis X (LeX) which is found in normal peripheral granulocytes (PMN), chronic myeloid leukemia (CML) and several carcinomas. In different cells, LeX may be found in N-linked dycosidic moieties of glycoproteins (PMN, CML) in O-linked glycosidic moieties of mucins (MCF-7 cells) or in both (colon carcinoma). Since LeX is abundantly expressed in human colon carcinomas, the lytic effect of FC-2.15 was tested in several colon carcinoma cell lines. The C' mediated lysis measured by the MTT assay was for the different cell lines: DLD-1 35.1  $\pm$  4.8%; T84: 27.2  $\pm$  10.2%; **CACO-2**: 3.9  $\pm$  3.9%; HT-29: 0  $\pm$  2.7%; HCT 116: 0  $\pm$  6.8%. Previous treatment of colon carcinoma cells with neuraminidase invariably increased the lytic ability of FC-2.15 to:  $48.6 \pm 5.1\%$ ;  $37.8 \pm 7.6\%$ ;  $14.1 \pm 4.8\%$ ;  $8.4 \pm 3.2\%$  and  $6.5 \pm 6.5\%$ 10.2% respectively. Cell lysis is proportional to the expression of LeX at the cell membrane, which is increased after neuraminidase treatment, suggesting that a combination of MAbs directed against LeX and Sialyl LeX may have additive cytotoxicity in colon carcinoma.

**#2988** Radioimmunotherapy of established human colon carcinoma monografts using CC49 diabody. Pavlinkova, G., Beresford, G., Booth, B.J.M., Batra, S.K., and Colcher, D. University of Nebraska Medical Center, Departments of Pathology/Microbiology and Biochemistry/Molecular Biology, Omaha, NE 68198-6495.

Murine monoclonal antibodies (B72.3 and CC49), reactive with the tumor-\*\*Sociated antigen TAG-72, are among the most extensively studied for cancer herapy. However, progress in the treatment of solid tumors has been limited by number of factors including poor penetration of the labeled IgG into the tumors, heir inability to reach the tumor in sufficient quantities without significant normal sue toxicity, as well as the development of a HAMA response to the injected MAb. One method to alter the pharmacology of antibodies has been to clone the MAb variable regions and develop a single chain Fv (scFv). A new CC49/205C dabody, composed of two noncovalently associated scFvs which are capable of binding two antigen molecules, were generated and shown to bind TAG-72 topes with a similar binding affinity as the murine IgG. The therapeutic potential of this construct was examined in a radioimmunotherapy studies performed in thymic mice bearing human colon carcinoma (LS-174T) xenografts. Treatment thypotential colon carcinoma (LS-174T) received a single dose of 131 l-labeled CC49 diabody (500 to 2000) Ci) or  $^{131}$ I-labeled CC49 IgG (250 to 500  $\mu$ Ci). The mice treated with radiolabeled MAbs showed a significant reduction in the rate of tumor growth and prolongation of survival as compared to the control group. At 20 days post administration, the tumors were averaging 25% the size of control tumors in mice given 500-750 µCi of labeled diabody and 15% the size of control tumors in animals given 1000-2000  $\mu\text{Ci}$  of labeled diabody or 250-500  $\mu\text{Ci}$  of labeled IgG. In conclusion, the CC49 diabody demonstrates in vitro and in vivo targeting specificity and provides a promising delivery vehicle for therapeutic applications.

#2989 Radioimmunotherapy of pancreatic cancer by <sup>131</sup>I-labeled monoclonal antibody Nd2 in orthotopic transplanted model. Yamamoto A, Sawada T, Nishihara T, Yamashita Y, Hirakawa K, Sowa M, Ho J.J.L, Kim Y. S. Osaka City University Medical School, Osaka 545, Japan

Radioimmunotherapy of malignant tumors by monoclonal antibody (MoAb) have been investigated mostly in nude mice xenografted subcutaneously, however concerning the blood perfusion and/or microenvironment of clinical primary tumors, orthothopic transplanted tumors are more relevant to investigate in vitro experimental effect. We have previously demonstrated the therapeutic potential of <sup>131</sup>I-labeled MoAb Nd2 in nude mice xenografted pancreatic cancer SW1990. In this study, we evaluated the tumor accumulation and inhibitory effect of 131 I-labeled Nd2 in orthotopic transplanted pancreatic cancer tumor. A piece of 30 mg of SW1990 tumors was transplanted on the bodies of pancreas in 4 week-old female BALB/c nude mice, and the mice whose tumor reached to approximately 0.7 cm in diameter were selected in this study. Twenty  $\mu\text{Ci}$  of  $^{131}\text{I-Nd2}$  was injected via the tail vein for radioimmunoscintigraphy and biodistribution study. Distinct tumor image was detected in scintigraphy and specific strong distribution in tumor of Nd2 was observed, tumor to blood ratio reached to 9.4 4 days after administration. About therapy, orthotopic transplanted mice injected 200 μCi of <sup>131</sup>I-Nd2 revealed significant inhibition of tumor growth and long-term survival compared with control mice injected PBS. These result suggested that <sup>131</sup>I-labeled Nd2 may have high possibilities for clinical application in the treatment of pancreatic cancer.

#2990 Clinical treatment of stomach adenocarcinoma with the apoptosis-inducing human monoclonal antibody SC-1. Vollmers, H.P.(a), Krenn, V.(a), Zimmermann, U.(b), Timmermann, W.(c), Illert, B.(c), Wilhelm, M.(d), Thiede, A.(b), and Mueller-Hermelink, H.K.(a). Inst.f.Pathologie (a), Inst.f.Biotechnologie (b), Chirurgische Klinik (c), Medizinische Poliklinik (d), Universität Würzburg, Würzburg, Germany.

The human monoclonal antibody SC-1(IgM), isolated from a patient with a diffuse type adenocarcinoma of the stomach, inhibits stomach cancer growth in vitro and in vivo by inducing tumor-cell specific apoptosis. For a clinical phase I/II study eight patients with poorly differentiated adenocarcinoma received purified antibody intravenously prior to gastrectomy. Neither during nor after infusion the patients develop serious complications or toxic crossreactivity symptoms. Liver enzymes showed no significant changes induced by antibody infusion and all patients are still alive five months after the start of the study. Tumors and lymphnodes were investigated immunohistochemically for apoptosis-induction and morphologically for antibody-induced reactivity. All primary tumors showed a significant increase of apoptotic tumor cells, and histologically, tumor regression was observed characterized by a decreased cellular density of carcinoma cells, an inflammatory infiltration of granulocytes and macrophages and the occurrence of pycnotic nuclei.

**#2991** Internalization of monoclonal antibodies by HER-2/neu overexpressing cells. Park, J.M., Yang, X., Press, O.W., Press, M.F. University of Southern California, Los Angeles, CA 90033, University of Washington, Seattle, WA 98195.

Amplification of the HER-2/neu oncogene is a genetic alteration which is correlated with poor prognosis in breast cancer. The HER-2/neu protein is a cell-surface receptor over-expressed in 25-30% of breast cancers. We have generated four novel monoclonal antibodies, 5A7, 8H11, 11F11, and 10H8, against the extracellular domain of this receptor. All four have been screened from hybridoma supernatants against an NIH3T3 cell line over-expressing the HER-2/ neu receptor and counter-screened against a wild-type NIH3T3 cell line. Immunohistochemical analysis shows binding to HER-2/neu in a frozen breast cancer biopsy by all four mAbs. Only three monoclonal antibodies identify the receptor by Western Blot suggesting that abrogation of a native conformational epitope prevents binding by the 8H11 antibody. Two mAbs, 8H11 and 10H8 can bind to the membranes of live SKBR-3 human breast cancer cells by indirect immunofluorescence. A cellular radioimmunoassay shows that two of the mAbs, 8H11 and 10H8, are bound to HER-2/neu over-expressing cells and internalized by receptor-mediated endocytosis. Monoclonal antibodies with the potential to specifically target human breast cancer cells have been generated and characterized for future use as a therapeutic reagent.

#2992 The role of affinity in the bispecific scFv<sub>2</sub>-mediated killing of HER2+ tumor cells by FcγRIII+ peripheral blood lymphocytes. McCall, A.M., Amoroso, A.R., Zhang, L., Schier, R., Marks, J.D. and Weiner, L.M. Fox Chase Cancer Center, Philadelphia, PA, 19111; University of California, San Francisco, CA, 94110

Bispecific monoclonal antibodies (BsAb) which recognize tumor antigens and Fc receptors are effective in promoting relevant tumor lysis by peripheral blood lymphocytes (PBL). However, the role of affinity in regulating the effector functions of these BsAb has not been investigated. Two bispecific  $scFv_2$  were constructed using the C6.5 and B1D2 (anti-HER2) scFv in association with the NM3E2 (anti-human  $Fc_7RIII)$  scFv. The  $K_D$  values of the C6.5 and B1D2 scFv were 1.6  $\times 10^{-8}$  M and 1.5  $\times 10^{-11}$  M, respectively, while the  $K_D$  value of the NM3E2 scFv was 5.8  $\times 10^{-8}$  M. PBL were treated with 1,000 U/ml of IL-2 for 18 hrs and used with  $^5$ 1Cr-labeled SKOV3 cells. At an E:T ratio of 50:1, there was 15.5%  $\frac{1}{2}$  cytotoxicity. 100ng/ml of C6.5  $\times$  NM3E2  $scFv_2$  and B1D2  $\times$  NM3E2  $scFv_2$